

# Schur operators and skew stable Grothendieck polynomials

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AMS Sectional meeting, UC Riverside, November 5, 2017

# Headliner

Grothendieck polynomials skewed. There will be ...

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**Skew Cauchy (main hero)**



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**Skew Cauchy (main hero)**



**Skew Pieris**



**Catalan numbers?**

**Dual filtered graphs**

**Random walk on Young's lattice**

**Enumerative formulas but you won't see them**

# Schur operators on Young diagrams $\lambda$

$$u_i \cdot \lambda = \begin{cases} \lambda + \square \text{ on } i\text{-th column,} & \text{if possible,} \\ 0, & \text{otherwise} \end{cases}$$

$$d_i \cdot \lambda = \begin{cases} \lambda - \square \text{ on } i\text{-th column,} & \text{if possible,} \\ 0, & \text{otherwise} \end{cases}$$

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Ex:  $u_2 \cdot \begin{array}{|c|c|}\hline \square & \square \\ \hline \square & \square \\ \hline \end{array} = \begin{array}{|c|c|}\hline \square & \square \\ \hline \square & \square \\ \hline \end{array}$      $d_2 \cdot \begin{array}{|c|c|}\hline \square & \square \\ \hline \square & \square \\ \hline \end{array} = \begin{array}{|c|}\hline \square \\ \hline \end{array}$

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non-local commutativity ( $[a, b] = ab - ba$  commutator)

$$[u_j, u_i] = 0, \quad [d_j, d_i] = 0, \quad |i - j| \geq 2$$

local Knuth relations

$$[u_{i+1} u_i, u_i] = 0, \quad [u_{i+1} u_i, u_{i+1}] = 0; \quad [d_i d_{i+1}, d_i] = 0, \quad [d_i d_{i+1}, d_{i+1}] = 0.$$

conjugate relations

$$[d_j, u_i] = 0 \ (i \neq j), \quad d_{i+1} u_{i+1} = u_i d_i, \quad d_1 u_1 = 1.$$

# Schur functions from Schur operators

$$A(x) := \cdots (1 + xu_2)(1 + xu_1) \quad B(x) := (1 + xd_1)(1 + xd_2) \cdots$$

$$\cdots A(x_2)A(x_1) \cdot \mu = \sum_{\lambda} s_{\lambda/\mu}(x_1, x_2, \dots) \cdot \lambda,$$

$$\cdots B(x_2)B(x_1) \cdot \lambda = \sum_{\mu} s_{\lambda/\mu}(x_1, x_2, \dots) \cdot \mu$$

$$\cdots (1+u_2)(1+u_1) \cdot \begin{array}{|c|c|}\hline \square & \square \\ \hline \end{array} = \begin{array}{|c|c|}\hline \square & \square \\ \hline \end{array} + \begin{array}{|c|c|}\hline \square & \square \\ \hline \square & \square \\ \hline \end{array} + \begin{array}{|c|c|}\hline \square & \square \\ \hline \square & \square \\ \hline \end{array} + \begin{array}{|c|c|c|}\hline \square & \square & \square \\ \hline \end{array} + \begin{array}{|c|c|c|}\hline \square & \square & \square \\ \hline \square & \square & \square \\ \hline \end{array} + \cdots + \begin{array}{|c|c|c|c|}\hline \square & \square & \square & \square \\ \hline \end{array} + \cdots$$

$$A(x) \cdot (\mu) = \sum x^{|\text{hor. strip}|} \cdot (\mu + \text{hor. strip}), \quad B(x) \cdot (\lambda) = \sum x^{|\text{hor. strip}|} \cdot (\lambda - \text{hor. strip})$$

Non-local and local commutation relations for  $(u_i)$  imply

$$[A(x_i), A(x_j)] = 0$$

Hence  $s_{\lambda/\mu}$  is (automatically) a **symmetric** function

# Grothendieck-Schur operators [Y. 2017]

$$\tilde{u}_i = u_i(1 - \beta d_i) = u_i - \beta u_i d_i \quad (\beta \text{ loops added})$$

$$\tilde{d}_i = (1 - \beta d_i)^{-1} d_i = d_i + \beta d_i^2 + \beta^2 d_i^3 + \dots \quad (\text{remove while can})$$

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non-local commutativity:  $[\tilde{u}_i, \tilde{u}_j] = [\tilde{d}_i, \tilde{d}_j] = 0, \quad |i - j| \geq 2$

local commutativity:  $[\tilde{u}_{i+1} \tilde{u}_i, \tilde{u}_i + \tilde{u}_{i+1}] = 0, \quad [\tilde{d}_i \tilde{d}_{i+1}, \tilde{d}_i + \tilde{d}_{i+1}] = 0$

conjugate relations:  $[\tilde{u}_i, \tilde{d}_j] = 0, \quad |i - j| \geq 2, \quad [\tilde{u}_{i+1}, \tilde{d}_i] = 0, \quad \tilde{d}_1 \tilde{u}_1 = 1.$

Generally, relations are more complicated than for Schur operators

# Symmetric skew Grothendieck polynomials

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$$\cdots B(x_2)B(x_1) \cdot \lambda = \sum_{\mu} g_{\lambda/\mu}^{\beta}(x_1, x_2, \dots) \cdot \mu$$

$$A(x) \cdot (\mu) = \sum_{\lambda/\mu \text{ hor. strip}} (1 - \beta x)^{\text{smt}\theta} x^{|\lambda/\mu|} \cdot (\lambda), \quad B(x) \cdot (\lambda) = \sum_{\mu \subset \lambda} \beta^{\text{smt}\theta} x^{\#\text{col's}(\lambda/\mu)} \cdot (\mu)$$

Commutation relations imply

$$[A(x_i), A(x_j)] = [B(x_i), B(x_j)] = 0$$

Hence  $G_{\lambda//\mu}^{\beta}, g_{\lambda/\mu}^{\beta}$  are **symmetric** functions

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Why  $G_{\lambda//\mu}^{\beta}$  and not  $G_{\lambda/\mu}^{\beta}$ ? Because  $G_{\lambda//\lambda}^{\beta} \neq G_{\emptyset}^{\beta} = 1$

# Symmetric Grothendieck polynomials

$\{\tilde{u}\}$  give Buch's formula (2002),  $\mu = \emptyset$

$$G_\lambda^\beta = \sum_{T \in SVT(\lambda)} (-\beta)^{|T|-|\lambda|} x_1^{\#\text{1's in } T} x_2^{\#\text{2's in } T} \dots$$

Set-valued tableaux (SVT):

$$T = \begin{array}{|c|c|c|} \hline 12 & 235 & 6 \\ \hline 35 & 68 & \\ \hline \end{array} \quad G_{(32)} = \dots + (-\beta)^{10-5} x_1 x_2^2 x_3^2 x_5^2 x_6^2 x_8 + \dots$$

$G_\lambda$  first studied by Fomin-Kirillov (1996)

$$G_\lambda \in \hat{\Lambda} \quad G_\square = e_1 - \beta e_2 + \beta^2 e_3 - \dots \quad G_\lambda = s_\lambda + \text{higher degree terms}$$

Buch (2002):  $G_\lambda$  analog of  $s_\lambda$  in K-theory of Grassmannian  $\text{Gr}_k(\mathbb{C}^n)$  of  $k$ -planes in  $\mathbb{C}^n$ . Product has **finite** decomposition + LR rule:

$$G_\lambda G_\mu = \sum_v c_{\lambda\mu}^v G_v, \quad \Gamma = \bigoplus_\lambda \mathbb{Z} \cdot G_\lambda$$

# Dual Grothendieck basis

$\tilde{d}$  give dual formula of Lam-Polyavskyy (2007)

$$g_\lambda = \sum_{T \in RPP(\lambda)} \beta^{|\lambda| - c(\lambda)} x_1^{\#\text{col's of } T \text{ with } 1} x_2^{\#\text{col's of } T \text{ with } 2} \dots$$

Reverse plane partitions (RPP):

$$T = \begin{array}{|c|c|c|c|} \hline 1 & 1 & 2 & 3 \\ \hline 1 & 2 & 2 & \\ \hline 1 & 2 & & \\ \hline \end{array} \quad g_{432} = \dots + \beta^{9-5} x_1^2 x_2^2 x_3 + \dots$$

$\{g_\lambda\}$  inhomogeneous basis of  $\Lambda$  and  $g_\lambda = s_\lambda + \text{lower degree terms}$

$$\langle g_\lambda, G_\mu \rangle = \delta_{\lambda\mu} \iff \sum_{\lambda} g_\lambda(x) G_\lambda(y) = \prod_{i,j} (1 - x_i y_j)^{-1} \text{ Cauchy identity}$$

# Skew Cauchy identity

Theorem [Y. 2017]

$$\sum_{\lambda} G_{\lambda//\mu}^{\beta}(\mathbf{x}) g_{\lambda/\nu}^{\beta}(\mathbf{y}) = \prod_{i,j} (1 - x_i y_j)^{-1} \sum_{\kappa} G_{\nu//\kappa}^{\beta}(\mathbf{x}) g_{\mu/\kappa}^{\beta}(\mathbf{y})$$

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$$\iff B(y)A(x) = (1 - xy)^{-1} A(x)B(y) \quad (\text{comm. relation})$$

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$\beta = 0$  gives Schur case, Fomin's approach (1996).

For Schur was first given by Zelevinsky in Russian translation of Macdonald's book.  
Then generalized for many: Schur PQ, Hall-Littlewood, Macdonald, ...

Skew Cauchy identities are important for stochastic models, Schur and Macdonald processes (works of Borodin, Okounkov). Borodin-Petrov (2016): it became central and is directly related to Yang-Baxter equation.

Skew Cauchy encapsulates many properties of corresponding symmetric functions and can be viewed as a generalized RSK.

# Applications of skew Cauchy

Skew Pieri rules

Various identities

Dual graphs

Probabilistic models

Enumerative formulas

## Skew Pieri rules

Skew Cauchy (+ some work) gives skew Pieri

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**Theorem (Y. 2017)**

$$g_{(k)} g_{\mu/\nu} = \sum_{\substack{\lambda/\mu \text{ hor strip} \\ \nu/\eta \text{ vert strip}}} w_{\nu,\eta}^{\lambda,\mu}(\beta) g_{\lambda/\eta}, \quad w = \pm \beta^{|\lambda/\mu| + |\nu/\eta| - k} \binom{a(\mu, \lambda) - b(\eta, \nu) - \nu/\eta}{|\lambda/\mu| + |\nu/\eta| - k}$$

$$G_{(1^k)} G_{\mu//\nu} = \sum_{\substack{\lambda/\mu \text{ vert strip} \\ \eta \subset \nu}} W_{\nu,\eta}^{\lambda,\mu}(\beta) G_{\lambda//\eta} \quad W \text{ smth else but not hard}$$

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**Corollary.** Simple skew Pieri ( $\beta = 1$ ):

$$g_{\square} g_{\mu/\nu} = (-i(\mu) + i(\nu)) g_{\mu/\nu} + \sum_{\lambda = \mu + \square} g_{\lambda/\nu} - \sum_{\eta = \nu - \square} g_{\lambda/\eta}$$

$$G_{\square} G_{\mu//\nu} = \sum_{\substack{\lambda/\mu \text{ rook strip} \\ \eta \subset \nu}} (-1)^{|\lambda/\mu|} G_{\lambda//\eta}$$

**Corollary.**  $\beta = 0$  gives Assaf-McNamara's (2011) skew Pieri rule for Schur functions

$$s_{(k)} s_{\mu/\nu} = \sum_{\substack{\lambda/\mu \text{ hor strip} \\ \nu/\eta \text{ vert strip}}} (-1)^{|\nu/\eta|} s_{\lambda/\eta}$$

# Applications: Some identities

$$\sum_{\lambda} q^{c(\lambda/\nu)} \beta^{|\lambda/\nu| - c(\lambda/\nu)} G_{\lambda}^{\beta} = \prod_i \frac{1}{1 - qx_i} G_{\nu}^{\beta}$$

$$\sum_{\substack{\lambda/\mu \text{ hor. strip}}} (1 - \beta q)^{a(\mu, \lambda)} q^{|\lambda/\mu|} g_{\lambda}^{\beta} = \prod_i \frac{1}{1 - qy_i} g_{\mu}^{\beta}$$

$$\sum_{\lambda} G_{\lambda//\mu} = \prod_i \frac{1}{1 - x_i} \quad (\beta = 1)$$

Recall in contrast classical Schur case:

$$\sum_{\lambda} s_{\lambda/\mu} = \prod_i \frac{1}{1 - x_i} \prod_{i < j} \frac{1}{1 - x_i x_j} \sum_{\kappa} s_{\mu/\kappa}$$

## Applications: a curious identity. Catalan?

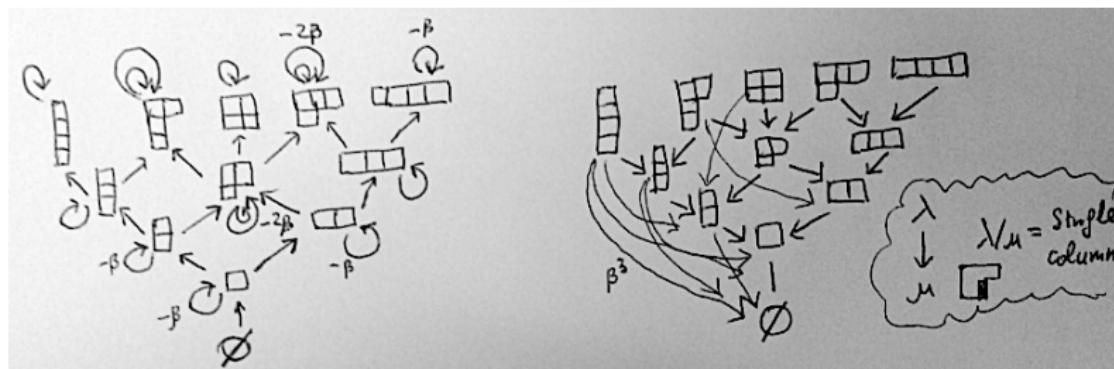
$$\sum_{\lambda} G_{\lambda/\delta_n} = \text{Cat}_n \prod_i \frac{1}{1-x_i}, \quad \delta_n = (n, n-1, \dots, 1)$$

Note:  $G_{\lambda/\delta_n}$  not  $G_{\lambda//\delta_n}$

## Applications: dual filtered graphs

$$up : \tilde{U} = \tilde{u}_1 + \tilde{u}_2 + \dots \quad down : \tilde{D} = \tilde{d}_1 + \tilde{d}_2 + \dots$$

Skew Cauchy gives:  $[\tilde{D}, \tilde{U}] = \tilde{D}\tilde{U} - \tilde{U}\tilde{D} = 1$



Stanley (1988) studied differential posets and Fomin (1997) dual graded graphs

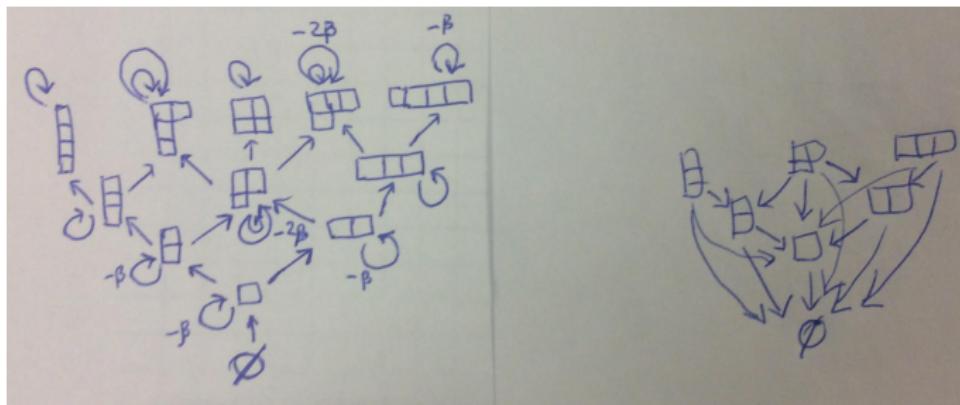
Patrias-Polyavskyy (2015) introduced dual filtered graphs as a K-theoretic analog

## Applications: dual filtered graphs

$$up : \tilde{U} = \tilde{u}_1 + \tilde{u}_2 + \dots \quad down : \overline{D} = -1 + (1 + \tilde{d}_1)(1 + \tilde{d}_2) \dots$$

Skew Cauchy gives:

$$[\overline{D}, \tilde{U}] = \overline{D}\tilde{U} - \tilde{U}\overline{D} = 1 + \overline{D}$$

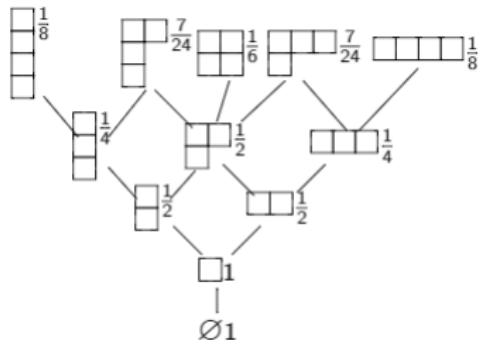


# Random walk on Young lattice

Vershik-Kerov:  $\phi$  is **harmonic**

$$\phi(\mu) = \sum_{\lambda=\mu+\square} \varkappa(\mu, \lambda) \phi(\lambda) \quad \varkappa(\mu, \lambda) \geq 0$$

$\dim_p(\lambda/\mu) = \text{Prob } \mu \rightarrow \lambda \text{ after } |\lambda/\mu| \text{ steps.}$



$$\dim_p(\lambda/\mu) = \sum_{\mu=v_0 \rightarrow v_1 \dots \rightarrow v_{|\lambda/\mu|}=\lambda} \prod_{i=0}^{|\lambda/\mu|-1} \frac{1}{i(v_i)+1}, \quad i(\lambda) = \# \text{ inner corners } \lambda.$$

**Theorem (Y. 2017)** (i)  $\dim_p(\lambda/\mu)$  is harmonic with  $\varkappa(\mu, \lambda) = \frac{1}{1+i(\mu)}$ . For  $|\lambda| = n$ ,  $\dim_p(\lambda)$  is a probability distribution.  
(ii) Let  $\rho : \Lambda \rightarrow \mathbb{C}$  be a homomorphism (specialization) with  $\rho(g_\square) = 1$ . Then  $\varphi(\lambda) := \rho(g_\lambda)$  is a harmonic function for same  $\varkappa$ .

**That's it but there is more ...**